



OSMM&N File No. 0557-4953-2

Serial No. 09/549,511

In the matter of the Application of: Noriyuki TERAO

For: METHOD AND APPARATUS FOR HIGH SPEED DATA COMPRESSION  
AND DECOMPRESSION

Dept.: E/M

By: GJM/RFC/jmp

Due Date: N/A

The following has been received in the U.S. Patent Office on the date stamped hereon:

- Dep. Acct. Order Form
- Cover Letter
- Petition under 37 CFR 1.181(a)(3)
- Copies of IDS filed 06/10/04



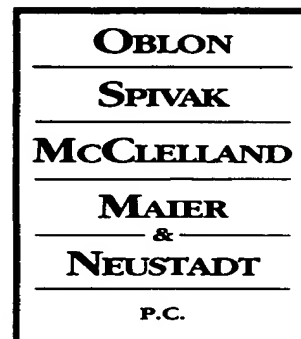
CCFY



Docket No.: 0557-4953-2

Date Allowed: 08/31/05

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313



ATTORNEYS AT LAW

GREGORY J. MAIER  
(703) 413-3000  
GMAIER@OBLON.COM

RAYMOND F. CARDILLO, JR.  
(703) 413-3000  
RCARDILLO@OBLON.COM

RE: Application Serial No.: 09/549,511

Applicants: Noriyuki TERAO

Filing Date: April 14, 2000

For: METHOD AND APPARATUS FOR HIGH SPEED  
DATA COMPRESSION AND DECOMPRESSION

Group Art Unit: 2624

Examiner: Do, Ahn Hong

SIR:

Attached hereto for filing are the following papers:

**PETITION UNDER 37 CFR §1.181(a)(3)**

**COPIES OF IDS FILED 06/10/04**

Our check in the amount of - 0 - is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

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Gregory J. Maier

Registration No. 25,599

Attorney of Record

Raymond F. Cardillo, Jr.

Registration No. 40,440

Customer Number

**22850**

(703) 413-3000 (phone)  
(703) 413-2220 (fax)

CCFY



DOCKET NO: 0557-4953-2

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF :  
NORIYUKI TERAOKA : EXAMINER: DO, A.H.  
SERIAL NO: 09/549,511 :  
FILED: APRIL 14, 2000 : GROUP ART UNIT: 2624  
FOR: METHOD AND APPARATUS FOR :  
HIGH SPEED DATA COMPRESSION  
AND DECOMPRESSION

PETITION UNDER 37 CFR §1.181(a)(3)

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

Sir:

This is a Petition pursuant to 37 CFR §1.181(a)(3) requesting that the examiner be provided the Application file in order to supply Applicants a copy of the below-noted Form PTO 1449 that indicates consideration of the references listed thereon.

STATEMENT OF FACTS

On June 10, 2004, Information Disclosure Statement (IDS) papers were filed that included a Form PTO-1449 listing five (5) references for consideration by the examiner as documented by the attached copy of these IDS papers including the Form PTO-149 and the associated date-stamped mail room filing receipt.

Upon review of this Application after the allowance thereof, it was noted that there was no indication of receipt or consideration of the above-noted Form PTO 1449 listed references.

CCF

Application No. 09/549,511  
Reply to Notice of Allowance Mailed 08/31/05

ACTION REQUESTED

It is respectfully requested that the Examiner be supplied with the application file so that he can provide Applicant a copy of the above-noted Form PTO 1449 indicating proper consideration of the five (5) listed references.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Customer Number

**22850**

Tel: (703) 413-3000  
Fax: (703) 413 -2220  
(OSMMN 06/04)

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Gregory J. Maier  
Attorney of Record  
Registration No. 25,599

Raymond F. Cardillo, Jr.  
Registration No. 40,440

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OSM&N File No. 0557-4953-2

Dept.: E/M

By: GJM/RFC/jmp

✓ Serial No. 09/549,511

In the matter of the Application of: NORIYUKI TERA0

For: METHOD AND APPARATUS FOR HIGH SPEED DATA COMPRESSION  
AND DECOMPRESSION

Due Date: 06/12/04

The following has been received in the U.S. Patent Office on the date stamped hereon:

- |  |   |
|--|---|
| <input checked="" type="checkbox"/> Credit Card Form for <u>\$770.00</u> | <input checked="" type="checkbox"/> Dep. Acct. Order Form |
| <input checked="" type="checkbox"/> Information Disclosure Statement     | <input checked="" type="checkbox"/> PTO-1449              |
| <input checked="" type="checkbox"/> Cited References <u>(5)</u>          |   |
| <input checked="" type="checkbox"/> RCE                                  |   |



Docket No.: 0557-4953-2



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: NORIYUKI TERAO

SERIAL NO: 09/549,511

GAU: 2624

FILED: APRIL 14, 2000

EXAMINER: DO, A. H.

FOR: METHOD AND APPARATUS FOR HIGH SPEED DATA COMPRESSION AND DECOMPRESSION

REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

This is a request for Continued Examination (RCE) under 37 C.F.R. §1.114 of the above-identified application.

Submission required under 37 C.F.R. §1.114

Previously Submitted:

- ☐ Consider the amendment(s)/reply under 37 C.F.R. §1.116 previously filed on
- ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on

Enclosed:

- ☐ Amendment/Reply
- ☒ Information Disclosure Statement (IDS)
- ☒ Other: Form PTO-1449, Cited References (5)

FEES	RATE	CALCULATIONS
<input type="checkbox"/> Suspension of action on the above-identified application is requested under 37 C.F.R. §1.103(c) for a period of months.	\$130.00	\$0.00
<input checked="" type="checkbox"/> RCE Fee required under 37 C.F.R. §1.17(e)	\$770.00	\$770.00
<input type="checkbox"/>		\$0.00
<input type="checkbox"/>		\$0.00
TOTAL OF ABOVE CALCULATIONS:		\$770.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING AS SMALL ENTITY		\$0.00
TOTAL:		\$770.00

- ☐ A check in the amount of \$0.00 is enclosed
- ☒ Credit card payment form is attached to cover the fees in the amount of \$770.00
- ☒ Please charge any additional Fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- ☒ If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 CFR 1.136, and any additional fees required under 37 CFR 1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Gregory J. Maier  
Registration No. 25,599  
Attorney of Record

Raymond F. Cardillo, Jr.  
Registration No. 40,440

Customer Number

22850

Tel. (703) 413-3000  
Fax. (703) 413-2220

Docket No. 0557-4953-2



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: NORIYUKI TERAOKA

DATE ALLOWED: 03/12/04

SERIAL NO: 09/549,511

GAU: 2624

RCE FILED: HEREWITH

EXAMINER: DO, A.H.

FOR: METHOD AND APPARATUS FOR HIGH SPEED DATA COMPRESSION AND DECOMPRESSION

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Customer Number

**22850**

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)

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Gregory J. Maier

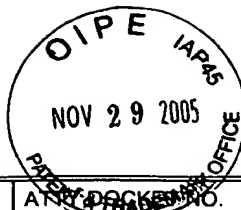
Registration No. 25,599

Attorney of Record

Raymond F. Cardillo, Jr.

Registration No. 40,440

**CCF**



SHEET 1 OF 1

Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

APP. DOCK. NO.

0557-4953-2

SERIAL NO.

09/549,511

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Noriyuki TERA0

RCE FILED

herewith

GROUP

2624

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO	08-139935	05/31/96	Japan (with English abstract)		X
	AP	09-027752	01/28/97	Japan (with English abstract)		X
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	"Embedded Image Coding Using Zerotrees of Wavelet Coefficients," IEEE transactions on signal processing, vol. 41 No. 12, December 1993, Jerome M. Shapiro				
	AX	"Image Compression via Joint Statistical Characterization in the Wavelet Domain," GRASP Laboratory Technical Report #414, University of Pennsylvania, 30 May 1997, Robert W. Buccigrossi and Eero P. Simoncelli				
	AY	"Compression and Segmentation of Images Using an Inter-Subband Wavelet Probability Model," Robert W. Buccigrossi, A Dissertation in Computer and Information Science,				
	AZ				<input type="checkbox"/> Additional References sheet(s) attached	

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.